

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2011014
<b>Application Number:</b>	10615796
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1916
<b>Title of Invention:</b>	Methods and apparatus relating to the formulation and trading of investment contracts
<b>First Named Inventor/Applicant Name:</b>	Ian Kenneth Shepherd
<b>Customer Number:</b>	26111
<b>Filer:</b>	Robert E. Sokohl
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	2151.0050002
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	21510050002TL.PDF	47708 <small>1e261332e9068b02d970b7c25e81a0c1e993aeb</small>	no	1

**Warnings:**

Information:					
2	Applicant Response to Pre-Exam Formalities Notice	21510050002SECONDREPLY.PDF	87831	no	4
			e21f5cf5c9070db4e5c0b656d4f1f15f71e1e23bc		
Warnings:					
Information:					
3		21510050002SUBSTITUTE SPEC CLEANVERSION.PDF	1488390	yes	34
			85a815d30e13b7780a03c74467c4b057ba9d0383		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Specification		1	26	
	Claims		27	33	
	Abstract		34	34	
Warnings:					
Information:					
4		21510050002SUBSTITUTE SPEC MARKEDUPVERSION.PDF	1306776	yes	34
			80ce8af54e830e18187898f18630c45946d59a9		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Specification		1	26	
	Claims		27	33	
	Abstract		34	34	
Warnings:					
Information:					
Total Files Size (in bytes):			2930705		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.